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PATENT  
Docket No.: 37387/161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gregg R. Olin )  
Serial No. : 09/731,174 )  
Filed : December 6, 2000 )  
Conf. No. : 9101 )  
For : METHOD AND SYSTEM FOR THE )  
CREATION OF A CLASS OF LOAN )  
SECURITIES )



Examiner:  
Daniel Kesack

Art Unit:  
3624

NOTIFICATION REGARDING LOSS OF ENTITLEMENT  
TO SMALL ENTITY STATUS AND PAYMENT OF DEFICIENCY PURSUANT TO  
37 CFR §§ 1.27(g) and 1.28(c)

MAIL STOP Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR § 1.27(g), applicant hereby notifies the U.S. Patent and Trademark Office that the above-identified application is no longer entitled to small entity status.

At the time of filing the above application, small entity status was properly established. We were notified on November 23, 2004 that the invention was sold to a large entity. A change in the status of the present application from small entity to large entity is, therefore, requested.

Pursuant to 37 CFR § 1.28(c), enclosed with this notification is payment for fees owed for the deficient payments resulting from the change from small to large entity status. In particular, on September 26, 2005, applicant filed a Response after Non-Final Action and erroneously paid the excess claims fee and the small entity fee for a Three-Month Extension of Time. In addition, on January 19, 2007, applicant electronically filed the Issue Fee and Publication Fee and erroneously paid at the small entity rate.

Accordingly, pursuant to 37 CFR § 1.28(c), below outlines the deficiency payment due for the above-identified application as shown in the itemization below and authorization is hereby given to the Commissioner to charge the deficiency payment of \$1,535.00 to Deposit

Account 14-1138.

01/30/2007 TTRAN2 00000064 141138 09731174  
01 FC:1501 10266071 1400.00 DA

01/30/2007 AMONDAF1 00000120 141138 09731174  
01 FC:1253 185.00 DA 835.00 OP  
02 FC:1201 200.00 DA  
03 FC:1202 450.00 DA

Date	Action – Fees Paid	Amount Paid (Small Entity)	Current Fee (Large Entity)	Amount Owed
01/19/2007	Issue Fee	\$700.00	\$1,400.00	\$700.00
	Publication Fee	\$300.00	\$300.00	
09/26/2005	Excess Claims Fee	\$325.00	\$650.00	\$325.00
	Extension for Reply Within Third Month	\$510.00	\$1,020.00	\$510.00
Current Deficiency Owed				\$1,535.00

The Commissioner is authorized to charge any other necessary fees or credit any overpayment to Deposit Account No. 14-1138.

Respectfully submitted,

Date: January 25, 2007

Gunnar G. Leinberg  
Gunnar G. Leinberg  
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CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petitions, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) \_\_\_\_\_.

Jan. 25, 2007  
Date

Patricia Knisley  
Signature

Patricia A. Knisley  
Type or Print Name